

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Austin

Application No.:

09/966,686

Filed:

October 1, 2001

For:

A METHOD AND APPARATUS FOR CONTRACTING,

LOADING OR CRIMPING SELF-EXPANDING AND

BALLOON EXPANDABLE STENT DEVICES

Examiner:

Not yet assigned

Group Art Unit:

3726

Commissioner for Patent Washington, D.C. 20231

Docket No.: S63.2-10142

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached Form PTO-1449 and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

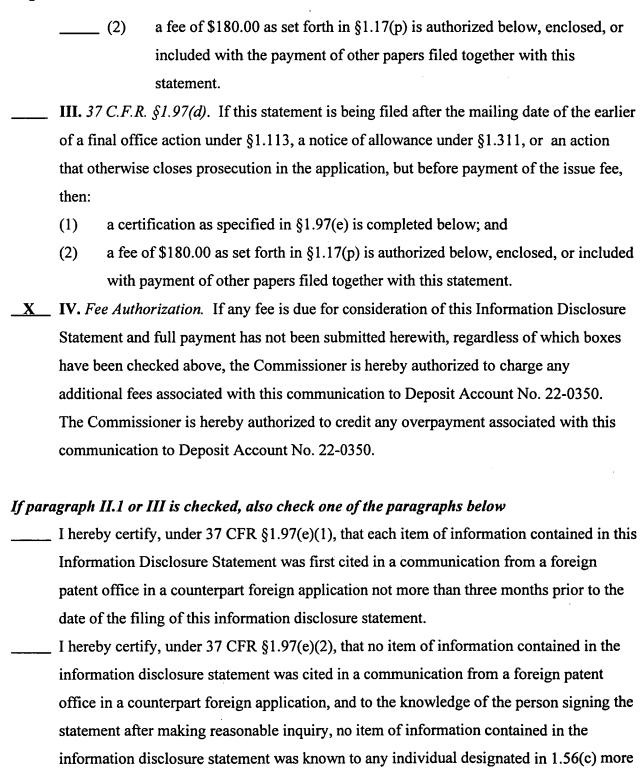
This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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Applications that are listed on the accompanying modified form 1449 as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the 1449 and/or 892 forms from these cases.

<u>X</u>	I. This statem	nent qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.
§1.97(b) or otherwise	because to the knowledge of the undersigned attorney it is being filed
(check a	all that apply):	
	(1)	within 3 months of the filing date of the application (other than a CPA); or
	(2)	within 3 months of entry of the national stage; or
	<u>X</u> (3)	before the mailing of a first Office Action on the merits;
	(4)	before the mailing of a first Office Action after the filing of a request for
		continued examination (RCE) under §1.114;
	(5)	as part of a continued prosecution application (CPA); or
	(6)	during the period of a suspension of action for a CPA under 37 C.F.R.
		§1.103(b).
	II. This statement is believed to require a fee or the submission of a certification under	
	37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1)	
1	three months beyond the filing date of a national application (other than CPA); (2) three	
1	months beyond the date of entry of the national stage as set forth in §1.491 in an	
i	international application; (3) the mailing of a first Office Action on the merits; (4) the	
1	mailing of a first Office Action after the filing of a request for continued examination	
1	under §1.114; or (5) after the filing of a request for a continued prosecution application,	
1	but before the mailing date of the earlier of a final office action under §1.113, a notice of	
;	allowance under §1.311 or an action that otherwise closes prosecution in the application,	
1	then:	
	(1)	a certification as specified in §1.97(e) is provided below; or

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than three months prior to the filing of the Information Disclosure Statement.

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For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

By:

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS, P.A.

Date: January 9, 2002

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